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PTO/SB/05 (08-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

| | | |
|---|---------------------|---------------------------------------|
| UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> | Attorney Docket No. | ACS-64880 (4171DX) |
| | First Inventor | Atladdottir |
| | Title | Self-Expanding Stent and Catheter ... |
| | Express Mail Label | EV 327 061 372 US |

| | |
|---|--|
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P. O. Box 1450 Alexandria VA 22313-1450 |
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| | |
|---|--|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing) | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) |
| 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. | 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) |
| 3. <input checked="" type="checkbox"/> Specification [Total Pages 83] (preferred arrangement set forth below) <ul style="list-style-type: none">- Descriptive title of the invention- Cross Reference to Related Applications- Statement Regarding Fed sponsored R & D- Reference to sequence listing, a table, or a computer program listing appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure | a. <input type="checkbox"/> Computer Readable Form (CRF) |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total 38] | b. Specification Sequence Listing on: <ul style="list-style-type: none">i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); orii. <input type="checkbox"/> Paper |
| 5. Oath or Declaration [Total Pages 15] <ul style="list-style-type: none">a. <input checked="" type="checkbox"/> Newly executed (original or copy)b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | c. <input type="checkbox"/> Statements verifying identity of above copies |
| 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | |

| | |
|---|---|
| ACCOMPANYING APPLICATION PARTS | |
| 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) | |
| 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) | <input type="checkbox"/> Power of Attorney |
| 11. <input type="checkbox"/> English Translation Document (if applicable) | |
| 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 | <input type="checkbox"/> Copies of IDS Citations |
| 13. <input type="checkbox"/> Preliminary Amendment | |
| 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) | |
| 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) | |
| 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. | |
| 17. <input checked="" type="checkbox"/> Other: Check | |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: **09/861,473**

Prior application information: Examiner **Michael Thaler** Group / Art Unit: **3731**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

| | | | | |
|---|--|-----------|----------|--|
| 19. CORRESPONDENCE ADDRESS | | | | |
| <input checked="" type="checkbox"/> Customer Number: 24,201 or <input type="checkbox"/> Correspondence address below | | | | |
| Name | | | | |
| Address | | | | |
| City | | State | Zip Code | |
| Country | | Telephone | Fax | |

| | | | |
|-------------------|----------------------------|-----------------------------------|------------------|
| Name (Print/Type) | Douglas R. Peterson | Registration No. (Attorney/Agent) | 53,458 |
| Signature | <i>Douglas R. Peterson</i> | Date | 3/22/2004 |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) \$1,378.00

Complete if Known

Application Number

Filing Date

First Named Inventor

Atladdottir

Examiner Name

Art Unit

Attorney Docket No.

ACS-64880 (4171DX)

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money ☐ Other ☐ None

☐ Deposit Account:

Deposit
Account
Number

06-2425

Deposit
Account
Name

Fulwider Patton

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee
to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|--------------------------------|----------|
| | | 1001 | 770 | 2001 385 Utility filing fee | 770.00 |
| | | 1002 | 340 | 2002 170 Design filing fee | |
| | | 1003 | 530 | 2003 265 Plant filing fee | |
| | | 1004 | 770 | 2004 385 Reissue filing | |
| | | 1005 | 160 | 2005 80 Provisional filing fee | |
| SUBTOTAL (1) | | | | | (\$) |

2. EXTRA CLAIM FEES FOR UTILITY AND

| Extra Claims | Fee from below | Fee Paid |
|--------------------------------|----------------|----------|
| Total Claims 42 - 20** = 22 | X 18.00 = | 396.00 |
| Independent Claims 5 - 3** = 2 | X 86.00 = | 172.00 |
| Multiple Dependent | | |

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|---|----------|
| | | 1202 | 18 | 2202 9 Claims in excess of 20 | |
| | | 1201 | 86 | 2201 43 Independent claims in excess of 3 | |
| | | 1203 | 290 | 2203 145 Multiple dependent claim, if not paid | |
| | | 1204 | 86 | 2204 43 ** Reissue independent claims over original patent | |
| | | 1205 | 18 | 2205 9 ** Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) | | | | | (\$) |

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|--|----------|
| | | 1051 | 130 | 2051 65 Surcharge - late filing fee or oath | |
| | | 1052 | 50 | 2052 25 Surcharge - late provisional filing fee or cover sheet | |
| | | 1053 | 130 | 1053 130 Non - English specification | |
| | | 1812 | 2,520 | 1812 2,520 For filing a request for ex parte reexamination | |
| | | 1804 | 920* | 1804 920* Requesting publication of SIR prior to Examiner action | |
| | | 1805 | 1,840* | 1805 1,840* Requesting publication of SIR after Examiner action | |
| | | 1251 | 110 | 2251 55 Extension for reply within first month | |
| | | 1252 | 420 | 2252 210 Extension for reply within second month | |
| | | 1253 | 950 | 2253 475 Extension for reply within third month | |
| | | 1254 | 1,480 | 2254 740 Extension for reply within fourth month | |
| | | 1255 | 2,010 | 2255 1,005 Extension for reply within fifth month | |
| | | 1401 | 330 | 2401 165 Notice of Appeal | |
| | | 1402 | 330 | 2402 165 Filing a brief in support of an appeal | |
| | | 1403 | 290 | 2403 145 Request for oral hearing | |
| | | 1451 | 1,510 | 1451 1,510 Petition to institute a public use proceeding | |
| | | 1452 | 110 | 2452 55 Petition to revive - unavoidable | |
| | | 1453 | 1,330 | 2453 665 Petition to revive - unintentional | |
| | | 1501 | 1,330 | 2501 665 Utility issue fee (or reissue) | |
| | | 1502 | 480 | 2502 240 Design issue fee | |
| | | 1503 | 640 | 2503 320 Plant issue fee | |
| | | 1460 | 130 | 1460 130 Petitions to the Commissioner | |
| | | 1807 | 50 | 1807 50 Processing fee under 37 CFR § 1.17(q) | |
| | | 1806 | 180 | 1806 180 Submission of Information Disclosure Statement | |
| | | 8021 | 40 | 8021 40 Recording each patent assignment per property (times number of properties) | 40.00 |
| | | 1809 | 770 | 2809 385 Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| | | 1810 | 770 | 2810 385 For each additional invention to be examined (37 CFR § 1.129(b)) | |
| | | 1801 | 770 | 2801 385 Request for Continued Examination (RCE) | |
| | | 1802 | 900 | 1802 900 Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) \$40.00

SUBMITTED BY

Name Douglas R. Peterson

Registration No.
(Attorney/Agent)

53,458

Complete (if applicable)

Telephon

310-824-5555

Signature

Douglas R. Peterson

Date

3/21/2004

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